

UMMEED HOUSING FINANCE PRIVATE LIMITED

Regd. Off.: 318, DLF Magnolias, Sector-42, Golf Course Road, Gurugram – 122002, Haryana
Corp. Off.: Unit No.809-815, 8th Floor, Tower-A, Emaar Digital Greens, Golf Course Extn. Road, Sector 61, Gurugram-122102
CIN: U65922HR2016PTC057984

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED SEPTEMBER 30, 2022

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Nitin Kumar Agrahari, Compliance Officer of the company, have examined the following compliance requirement of Ummeed Housing Finance Private Limited (Company) and certify that the Company has maintained a Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations):

| Sr. No | Compliance Requirement | Yes/ No | Observation/ Remark |
|--------|---|---------|---|
| 1. | Whether the Company has a Structured Digital Database in place? | Yes | - |
| 2. | Whether control exists as to who can access the SDD for read/ write along with the names and PAN of such person? | Yes | Compliance Officer and Chief Financial Officer can access the SDD with only Read/ Write rights. |
| 3. | Whether all the UPSI have been captured in the Database. If not details of events that have not been captured and the reason for the same? | Yes | The Company captured UPSI relevant for the period under reporting. |
| 4. | Whether the recipients were upfront informed that the information which they will be receiving shortly is UPSI and the entry has been captured in the Database prior to forwarding the UPSI data. If not details of events that have not been captured and the reason for the same? | Yes | Recipients were informed earlier, and an agreement for confidentiality executed. |
| 5. | Whether nature of UPSI have been captured along with date and time? | Yes | Nature of UPSI captured in SDD. |
| 6. | Whether name of persons who have shared the information has been captured along with PAN or any other identifier? | Yes | The name of person sharing the information along with PAN have been captured in SDD. |
| 7. | Whether name of persons with whom information is shared has been captured along with PAN or any other identifier? | Yes | The name of recipients along with PAN have been captured in SDD. |
| 8. | Whether the database has been maintained internally? | Yes | Database maintained internally. |
| 9. | Whether audit trail is maintained? | Yes | - |
| 10. | Whether time stamping is maintained? | Yes | - |
| 11. | Whether the database is non-tamperable? | Yes | - |
| 12. | Any other measures to ensure non-tamperability of the Database? | Yes | Use of digital signature to ensure non-tamperability of database, timestamping and audit trail. |

Note: The information of the audit should cover the period when such information was inserted in the SDD up to the date of disclosure.

The number of days for which non-compliance was observed: NA

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Further I also confirm that the Company was required to capture 1 number of events during the quarter ended and has captured 1 number of the said required events.

For **Ummeed Housing Finance Private Limited**

Nitin Kumar Agrahari
Company Secretary & Compliance Officer

Date: October 7, 2022

Place: Gurugram